P

PATENT .

Attorney Docket No. 4779US

CERTIFICATE OF MAILING (under 37 C.F.R. § 1.10 if checked)

| CERTIFICATE OF MATERIA (midel 57 C.F.R. § 1.10 ii checked) | |
|--|----|
| I hereby certify that this transmittal along with all items checked below is being deposited with the United States Postal Service | :e |

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Lynette Fiason
Types Tyme of person mailing transmittal

July 19, 2001 Date of Deposit Signature of person mailing transmittal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

To be assigned

Group Art Unit No.: 16

Applicant(s):

Steidler et al.

Filing date:

April 19, 2001

Serial No.:

09/838,718

For (title):

USE OF A CYTOKINE-PRODUCING LACTOCOCCUS

STRAIN TO TREAT COLITIS

COMMUNICATION TRANSMITTAL

Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

X Postcard receipt acknowledgment (attached to the front of this transmittal).

Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.

Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.

Preliminary amendment.

Amendment or other communication in response to the non-final office action mailed .

Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed .

Petition for Extension of Time in duplicate with check no. in the amount of \$.

Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).

Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

Response to notice to comply with requirements for patent applications containing nucleotide sequence and/or amino acid sequence dislcosures.

Remarks:

An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

| | 1 2 | 3 | | \$EXTRA |
|---|-----|---------|--|---------|
| Total claims | = | x 18.00 | | |
| Indep. claims | . = | x 78.00 | | |
| First presentation | | | | |
| SUBTOTAL | | | | |
| Reduction for small entity - 50% of subtotal* | | | | |
| TOTAL ADDITIONAL FEE (subtotal minus any reduction) | | | | |

^{*}Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

1 Claims remaining after amendment.

Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.

3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted

Allen C. Turner Reg. No. 33,941

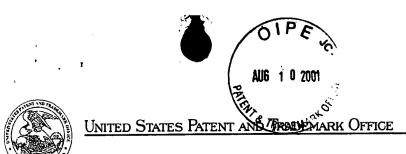
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(801) 532-1922

Date: July 19, 2001

Enclosures: As identified above





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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.usdio.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/838,718

04/19/2001

Lothar Steidler

4779US

24247 TRASK BRITT P.O. BOX 2550 SALT LAKE CITY, UT 84110 CONFIRMATION NO. 3041
FORMALITIES LETTER

Date Mailed: 06/19/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content
of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823,
as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the
content of the sequence listing information recorded in computer readable form is identical to the written
(on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required
by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
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A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE